

# Applied Behavior Analysis (ABA) Authorization Request

Fax request to: (866) 480-9903

Questions? Call: (800) 525-2395

Request Date:	
<b>REQUEST TYPE:</b>	
<input type="checkbox"/> Initial Prior Authorization <i>For initial requests please attach the ASD Diagnosis Certification for Requesting Initial ABA Services (FA-11F)</i> Start date of services: _____	
<input type="checkbox"/> Continued Service <input type="checkbox"/> Unscheduled Revision <input type="checkbox"/> Reconsideration	
<input type="checkbox"/> Retrospective Authorization – Date of Eligibility Decision: _____	
<b>NOTES:</b>	
<b>I. REQUESTING PROVIDER</b>	
Practitioner's Name:	Credentials:
Provider Group Name:	Provider Group Email:
Provider Group NPI:	Phone:
	Fax:
<b>II. SERVICING PROVIDER</b> <input type="checkbox"/> Check if servicing provider is the same as requesting provider	
Practitioner's Name:	Credentials:
Provider Group Name:	Provider Group Email:
Provider Group NPI:	Phone:
	Fax:
<b>III. RECIPIENT</b>	
Name:	DOB:
Recipient ID:	Age:
Recipient's Living Arrangements (e.g., group home, foster home, parents):	
Is the recipient in State custody? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date recipient went into State custody:
<b>IV. CO-OCCURRING DIAGNOSES, CURRENT SYMPTOMS, RELEVANT HISTORY</b>	
Co-occurring diagnoses:	
Current symptoms and relevant history:	
<b>V. RESPONSIBLE PARTY</b>	
Parent/Guardian Name:	Phone:
Relationship to Recipient:	
By signing below the parent/guardian agrees to the parent/guardian responsibilities as outlined in the Medicaid Services Manual (MSM) Chapter 1500.	
Signature:	Date:

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**V. Behavioral Targets/Behavior Disorders and Treatment Plan** *(List the targeted behaviors that have an impact on development, communication, interaction with peers or others in the environment or adjustment to the settings in which the recipient's functions have diminished and update the anticipated target date for mastery. For initial requests please document baseline, and for continued service requests document baseline and quantify progress or regression over the previous 90 days.)*

Target Behavior Start Date and Anticipated Date for Mastery	Baseline Level Narrative / %	Current Level	Short Term Goal	Intermediate Goal	Long Term Goal

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**VI. Review of Services Provided Over the Previously Authorized Period** *(Provider will report what services were provided since the last review and overall responsiveness to interventions.)*


**VII. Parent/Guardian Training and Response to Training** *(Have the parent(s) (or guardians) been actively involved in training in behavioral techniques so that they can provide additional hours of intervention? Please explain.)*


**VIII. Treatment Plan and Care Coordination** *(Check all that apply.)*

- Treatment interventions are consistent with ABA techniques
- The treatment plan and requested services are based upon the functional assessment/re-assessment
- Care coordination involving appropriate entities is occurring
- The Licensed Psychologist or BCBA is responsible for all aspects of clinical direction supervision and case management and this includes evaluation of discharge requirements

**IX. ABA Services may not be duplicative of services under an Individualized Family Service Plan (IFSP) or an Individualized Educational Program (IEP).**

The recipient's IFSP or IEP has been reviewed and the proposed treatment and treatment plan are not duplicative, but have been formulated and coordinated with these.

- Yes     No     N/A

Summary of services provided:

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Signature:

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**X. Services Requested** (Providers may request review for up to 180 days which represents an authorization span of up to 6 months. The behavioral initial assessment and re-assessment do not require prior authorization). The requested services are based upon either a focused or comprehensive service delivery model. Provider is to indicate which delivery model is being utilized.

Focused     Comprehensive

Code	Required Modifier	Code Description	Start Date and End Date (May request up to 180 days, may not exceed 180 days)	Units Per Day	Days Per Week	Total Units Requested
1	97153	Adaptive behavior treatment by protocol, administered by technician under the direction of a physician or other qualified health care professional, face-to-face with one patient, each 15 minutes				
2	97155	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional, which may include simultaneous direction of technician, face-to-face with one patient, each 15 minutes				
3	0373T	Adaptive behavior treatment by protocol with modification, each 15 minutes of technicians' time face-to-face with a patient, requiring the following components: <ul style="list-style-type: none"> <li>administered by the physician or other qualified healthcare professional who is on-site</li> <li>with the assistance of two or more technicians</li> <li>for a patient who exhibits destructive behavior</li> <li>completed in an environment that is customized to the patient's behavior</li> </ul>				
4	97154	Group adaptive behavior treatment by protocol, administered by technician under the direction of a physician or other qualified health care professional, face-to-face with two or more patients, each 15 minutes				

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5	97158		Group adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional, face-to-face with multiple patients, each 15 minutes				
6	97156		Family adaptive behavior treatment guidance, administered by physician or other qualified health care professional (with or without the patient present), face-to-face with guardian(s)/caregiver(s), each 15 minutes				
7	97157		Multiple-family group adaptive behavior treatment guidance, administered by physician or other qualified healthcare professional (without the patient present), face-to-face with multiple sets of guardian(s)/caregiver(s), each 15 minutes				

**XI. Coverage of ABA Services**

*By signing below the provider ensures the following:* Treatment interventions are consistent with ABA techniques; Care coordination involving appropriate entities is occurring; The Licensed Psychologist or BCBA is responsible for all aspects of clinical direction, supervision and case management; The treatment plan and requested services are based upon the functional assessment.

<b>Signature:</b>	<b>Date:</b>
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*This authorization request is not a guarantee of payment. Payment is contingent upon eligibility, benefits available at the time the service is rendered, contractual terms, limitations, exclusions, coordination of benefits and other terms and conditions set forth by the benefit program. The information contained in this form, including attachments, is privileged and confidential and is only for the use of the individual or entities named on this form. If the reader of this form is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, the reader is hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If this communication has been received in error, the reader shall notify sender immediately and shall destroy all information received.*